

## SPIROMETRY FOR HEALTH CARE PROVIDERS: QUICK GUIDE Global Initiative for Chronic Obstructive Lung Disease (GOLD)

Assessment of airway obstruction plays a key role in the diagnosis and assessment of chronic obstructive pulmonary disease (COPD). The spirometric criterion required for a diagnosis of COPD is an FEV<sub>1</sub>/FVC ratio below 0.7 after bronchodilator.

**How to perform spirometry:** Explain the purpose of the test and describe it clearly to the patient. It may help to demonstrate or mimic the procedure yourself. Emphasize the need to take a full breath and blow out as fast and hard as possible. Record the patient's age, sex, and height, and time of last bronchodilator use.

- Instruct the patient to **breathe in fully** until the lungs feel full.
- The patient should only hold their breath long enough to **seal their lips tightly** around the mouthpiece.
- Blast the air out as forcibly and fast as possible until there is no more air left.
- Check that an adequate **trace** has been achieved.
- **Repeat the procedure** you need three acceptable blows within 150 mL or 5% of each other and best.
- Record the best readings of FEV<sub>1</sub> and FVC.

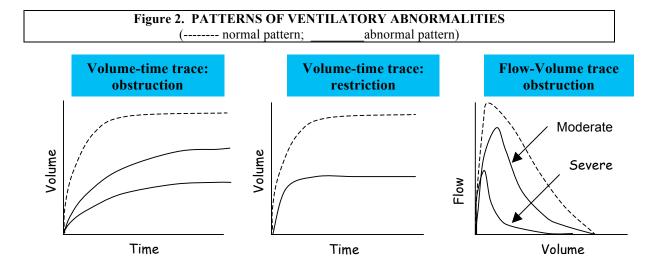
**Reversibility testing:** Perform pre-bronchodilator spirometry, give  $400 \mu g$  of salbutamol, and wait 15 minutes before performing post-bronchodilator spirometry. Prior to testing, withhold:

- Short-acting bronchodilators 6 hours
- Long-acting bronchodilators for 12 hours

In making a diagnosis of COPD, post bronchodilator  $FEV_1/FVC$  remains < 0.7. However, the  $FEV_1$  may improve significantly after bronchodilator, and a change of > 12% AND > 200 mL in  $FEV_1$  can occur in COPD. Larger changes in  $FEV_1$  do not negate a diagnosis of COPD, although the greater these are, the greater the likelihood that asthma is present.

Figure 1. GOLD Spirometric Criteria for COPD Severity

I: Mild COPD	•	$FEV_1/FVC < 0.7$
	•	$FEV_1 \ge 80\%$ predicted
II: Moderate COPD	• $FEV_1/FVC < 0.7$	
	•	$50\% \le \text{FEV}_1 < 80\% \text{ predicted}$
III: Severe COPD	• $FEV_1/FVC < 0.7$	
	•	$30\% \le \text{FEV}_1 < 50\% \text{ predicted}$
IV: Very Severe COPD	•	$FEV_1/FVC < 0.7$
	•	$FEV_1 < 30\%$ predicted or $FEV_1 < 50\%$ predicted plus
		chronic respiratory failure



	OBSTRUCTIVE	RESTRICTIVE	MIXED
$FEV_1$	REDUCED	REDUCED OR NORMAL	REDUCED
FVC	REDUCED OR NORMAL	REDUCED	REDUCED
FEV <sub>1</sub> /FVC	REDUCED	NORMAL OR INCREASED	REDUCED

**Troubleshooting:** The most common reason for inconsistent readings is patient technique. Common problems (and examples of traces where appropriate) include:

- Inadequate or incomplete inhalation and sub-maximal expiratory effort (3C, 3E)
- Delayed onset of maximal effort  $\rightarrow$  under-estimates FEV<sub>1</sub>(3D)
- Incomplete emptying of lungs common in COPD and elderly and infirm patients (3E)
- Lips not tight around mouthpiece  $\rightarrow$  under-estimate FEV<sub>1</sub> and FVC
- A slow start to the blow  $\rightarrow$  under-estimates FEV<sub>1</sub>(3D)
- Exhaling in part through the nose
- Coughing (3A)
- Glottic closure or obstruction of mouthpiece by teeth or tongue

Figure 3: Examples - Visual Patterns of Poor Spirometric Performance

